

Well-known unknown:
new measurements of the Cabibbo angle

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Outline

- V_{us} and unitarity of CKM matrix
- Ways to extract V_{us}
- Situation before 2004: did we have self-consistent picture ?
- Hints of a problem – K^+ case
- Breakthrough – K_L, K_S case
- Support – hyperons.
- Conclusions

V_{us} and Unitarity check

CKM matrix describes the quark mixing:

$$V = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \quad (1)$$

V_{us} is the oldest known mixing element (Cabibbo angle). Yet many exciting developments have happened just this year !

Unitarity of CKM matrix requires:

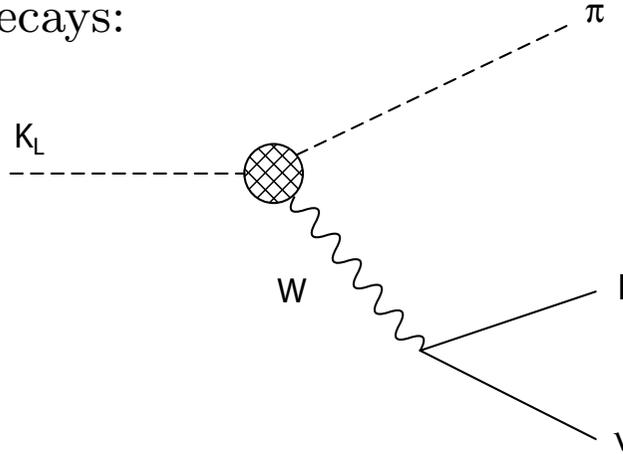
$$1 - (|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2) = \delta = 0 \quad (2)$$

Largest contribution comes from $|V_{ud}|$, next from $|V_{us}|$, negligible from $|V_{ub}|$.

According PDG-02, $\delta = 0.0043 \pm 0.0019$, about 2.2σ deviation from unitarity, with uncertainty from V_{us} of 0.0010.

Methods to extract V_{us}

The most accurate approach to extract V_{us} is to use rate of semileptonic kaon decays:



$$\Gamma_{K\ell 3} = \frac{G_F^2 M_K^5}{192\pi^3} S_{EW} (1 + \delta_K^\ell) C^2 |V_{us}|^2 f_+^2(0) I_K^\ell, \quad (3)$$

Here:

- S_{EW}, δ_K^ℓ – universal short- and mode dependent long-distance radiative corrections.
- $C = 1$ for K_L and $C = 1/2$ for K^\pm .
- $f_+^2(0)$ is calculated in theory form factor value for $t = 0$
- I_K^ℓ are mode and form factor ($f_+(t)$ for $Ke3$ and $f_+(t), f_0(t)$ for $K\mu3$) dependent decay phase space integrals.

Situation before 2004

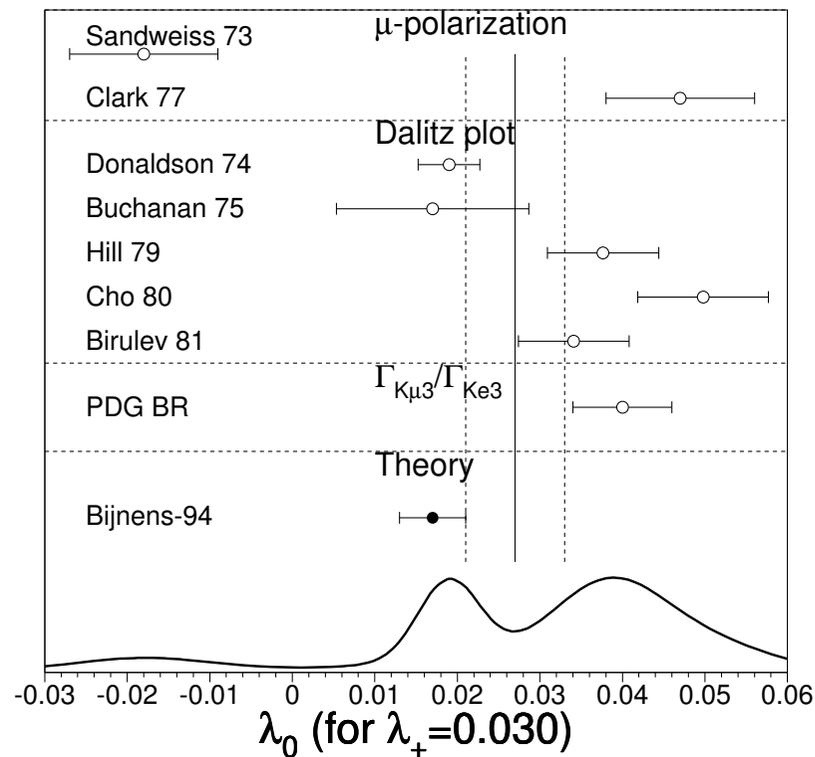
Apart from unitarity problem, V_{us} seemed to be well understood before the new data has arrived:

- Measured with $K_L e3$ ($0.2182 \pm 0.0012_{\text{exp}}$), $K^\pm e3$ ($0.2208 \pm 0.0016_{\text{exp}}$) and Hyperon decays (0.2176 ± 0.0026). The most precise measurement came from $K_L e3$ decays.
- $K_L e3$ branching fraction is extracted from various measurements of 36 different experiments performed between 1967-1995, they show good internal agreement
- $f_+(t)$ form factor is measured by ~ 10 experiments, well described by linear λ^+ term. The value of λ^+ is consistent between K^\pm (0.028 ± 0.003) and K_L (0.030 ± 0.002) as well as with theory (chiral QCD) expectations (~ 0.028).
- $f_+(0)$ is calculated by Leutweyler and Roos in 1984, their analysis shows that $K^\pm e3$ and $K_L e3$ data are consistent.

The only problem in this picture was BNL E865 determination of V_{us} based on $K^\pm e3$ data (PRL **91** 261802, published on 31 Dec 2003) which triggered a lot of new experimental activity.

Consistency check: $Ke3$ vs $K\mu3$

V_{us} measured with $Ke3$ should be equal to V_{us} measured with $K\mu3$ (“lepton universality”). Also, $f_+^{Ke3}(t) = f_+^{K\mu3}(t)$. For a linear parameterization of $f_0(t)$ this allows to extract λ_0 from $Br(K\mu3)/Br(Ke3)$:



- unsatisfactory experimental situation.
- theory (which is used for $f_+(0)$) largely disagree with BR result

K^+ result from BNL E865

Measurement of $Br(K^+ e3)$ based on 70.000 decays normalized to $Br(K^+ \rightarrow \pi^+ \pi^0)$, $Br(K^+ \rightarrow \pi^0 \mu^+ \nu)$ and $Br(K^+ \rightarrow \pi^+ \pi^0 \pi^0)$.

π^0 is detected using Dalitz $\pi^0 \rightarrow e^+ e^- \gamma$ decay.

Assuming PDG-02 values for the branching fractions of the normalization modes, using also new calculations of $f_+(0)$ and long distance radiative corrections, E865 experiment extracts:

$$V_{us} = 0.2272 \pm 0.0023_{rate} \pm 0.0007_{\lambda^+} \pm 0.0018_{f_+(0)} \quad (4)$$

With this value, CKM unitarity is satisfied within 1σ .

New results in 2004

After the BNL result, we got much more experimental and theoretical attention to V_{us} .

- New measurements/determinations of semileptonic branching fractions: KTeV (also preliminary by NA48, KLOE)
- New measurements of semileptonic form factors: ISTRA+, KTeV (also preliminary by NA48).
- New (preliminary) measurement of K_L lifetime: KLOE
- New calculations of $f_+(0)$ – chiral QCD, lattice QCD.
- New results for Ξ^0 beta decay

KTeV measurement of K_L branching fractions

KTeV is (was) a fixed target experiment to measure $\Re(\epsilon'/\epsilon)$ with 10^{-4} precision. Since there is no way to **tag** the kaon, measure all six largest decay modes in terms of five branching fraction ratios and use the constraint that the remaining width is just **0.03%**. Use **external** τ_L to convert branching fractions into partial widths.

The five measured ratios are:

$$\Gamma_{K\mu 3}/\Gamma_{Ke 3} \equiv \Gamma(K_L \rightarrow \pi^\pm \mu^\mp \nu)/\Gamma(K_L \rightarrow \pi^\pm e^\mp \nu) \quad (5)$$

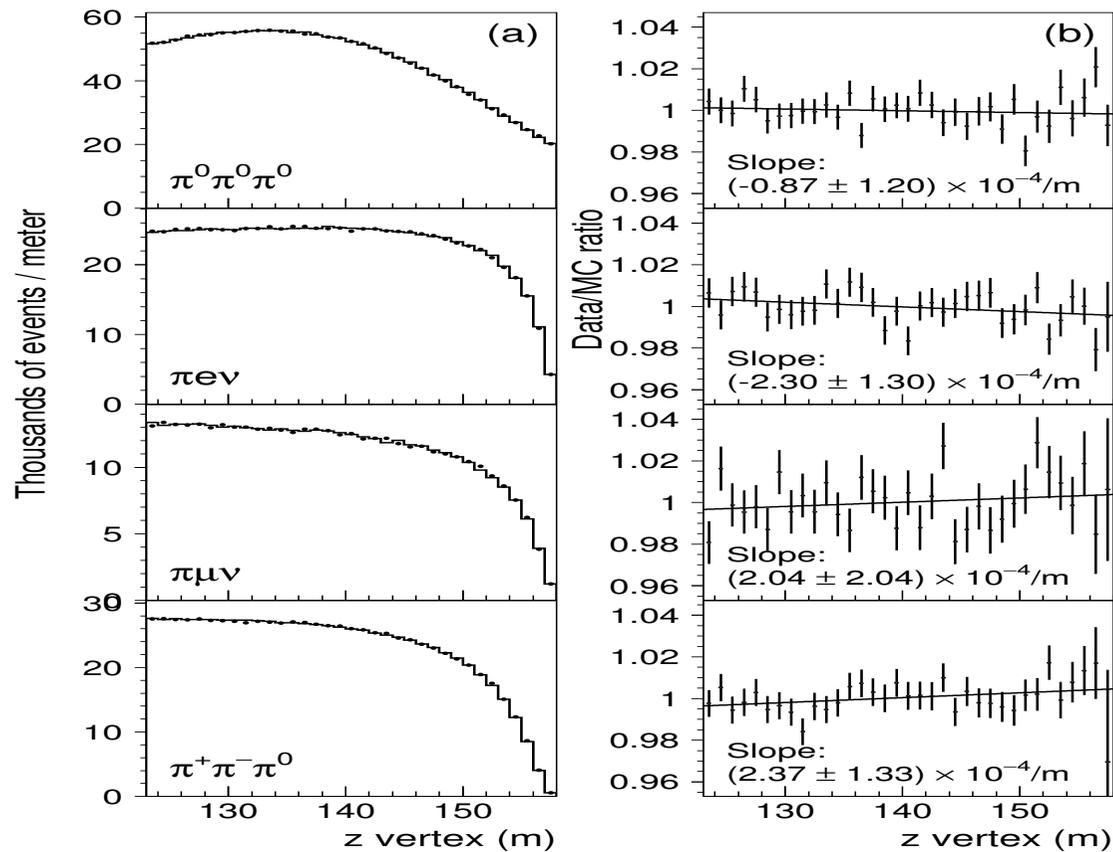
$$\Gamma_{+-0}/\Gamma_{Ke 3} \equiv \Gamma(K_L \rightarrow \pi^+ \pi^- \pi^0)/\Gamma(K_L \rightarrow \pi^\pm e^\mp \nu) \quad (6)$$

$$\Gamma_{000}/\Gamma_{Ke 3} \equiv \Gamma(K_L \rightarrow \pi^0 \pi^0 \pi^0)/\Gamma(K_L \rightarrow \pi^\pm e^\mp \nu) \quad (7)$$

$$\Gamma_{+-}/\Gamma_{Ke 3} \equiv \Gamma(K_L \rightarrow \pi^+ \pi^-)/\Gamma(K_L \rightarrow \pi^\pm e^\mp \nu) \quad (8)$$

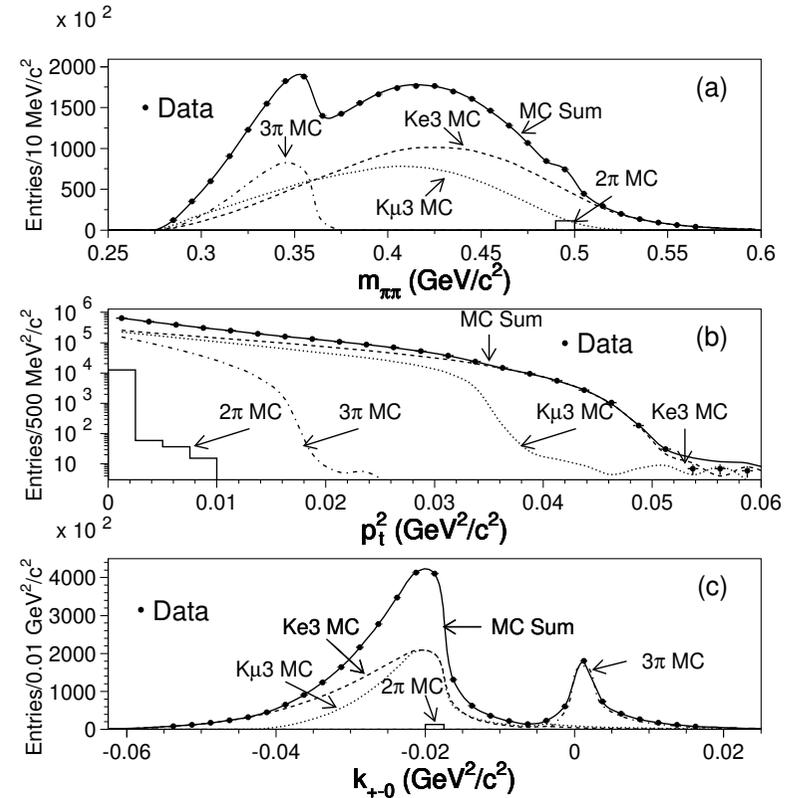
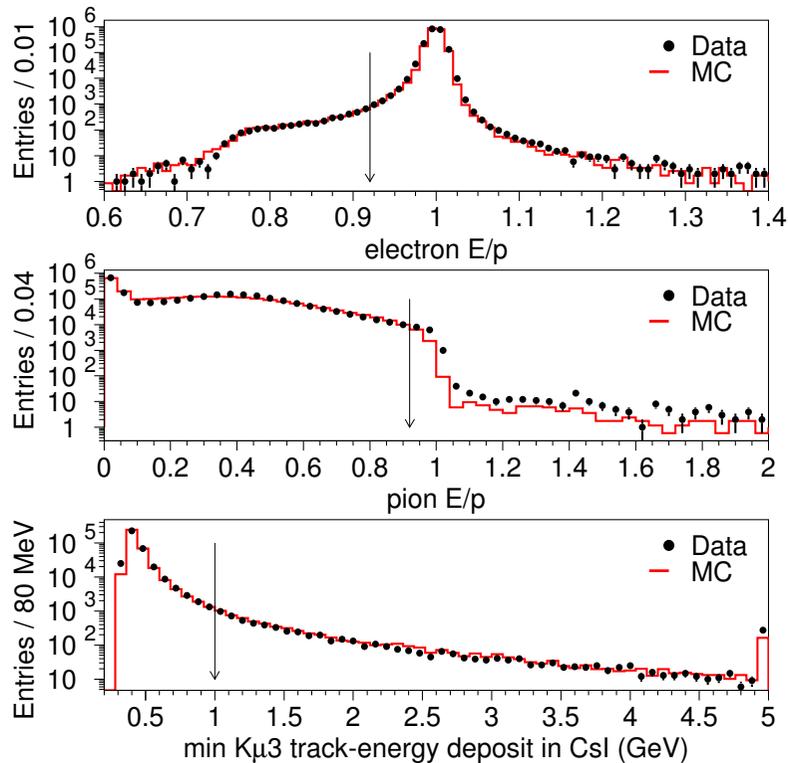
$$\Gamma_{00}/\Gamma_{000} \equiv \Gamma(K_L \rightarrow \pi^0 \pi^0)/\Gamma(K_L \rightarrow \pi^0 \pi^0 \pi^0), \quad (9)$$

KTeV: Acceptance vs Z



- Acceptance is different for different modes but well described by MC
- Special effort to minimize effects from different particle types (e.g. μ vs π). For example, μ system is not used in the main $K\mu 3$ analysis and π^0 decay products are ignored for $\pi^+\pi^-\pi^0$.

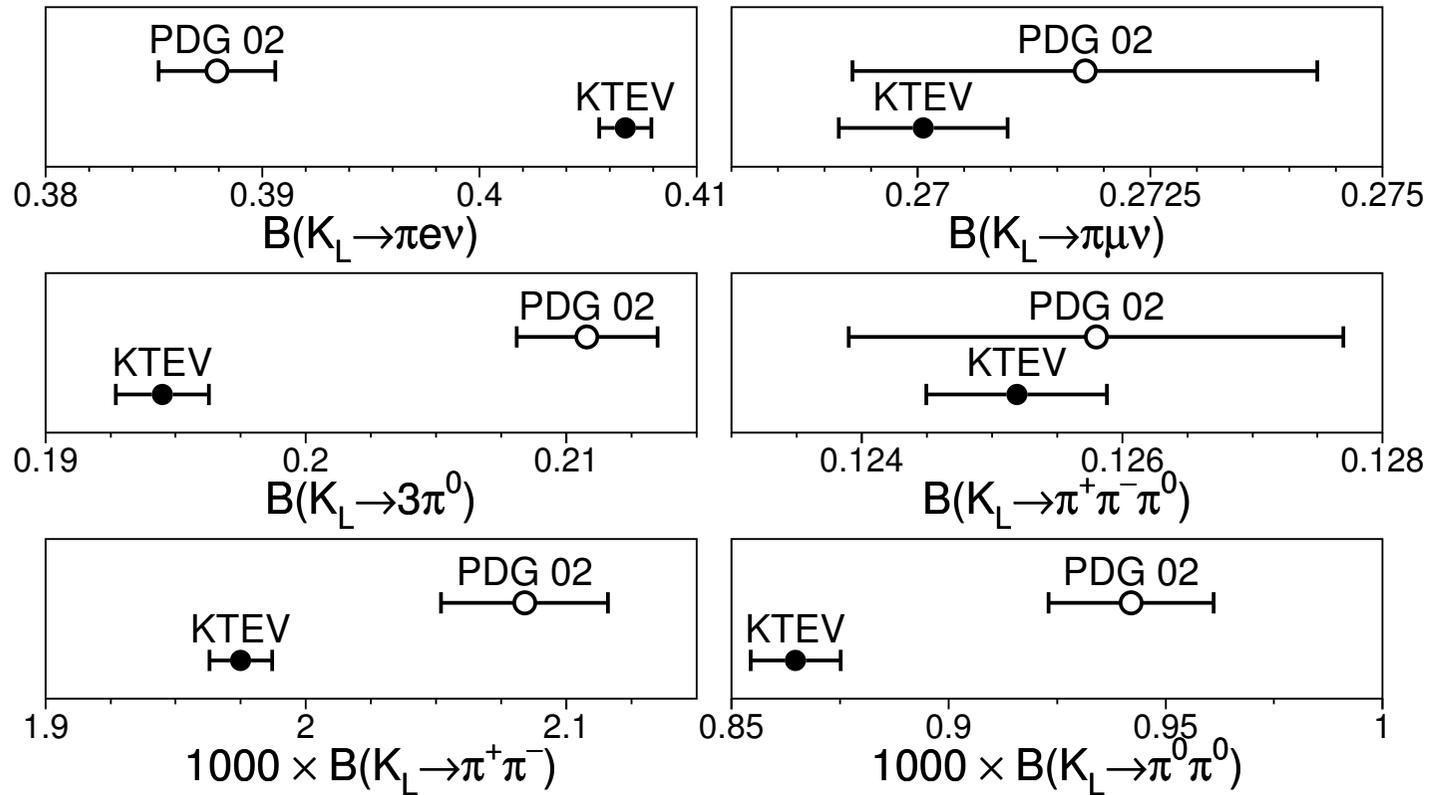
KTeV: Reconstruction of the charged modes



Different charged modes are distinguished from each other using CsI calorimeter energy response (left) and kinematic requirements (right).

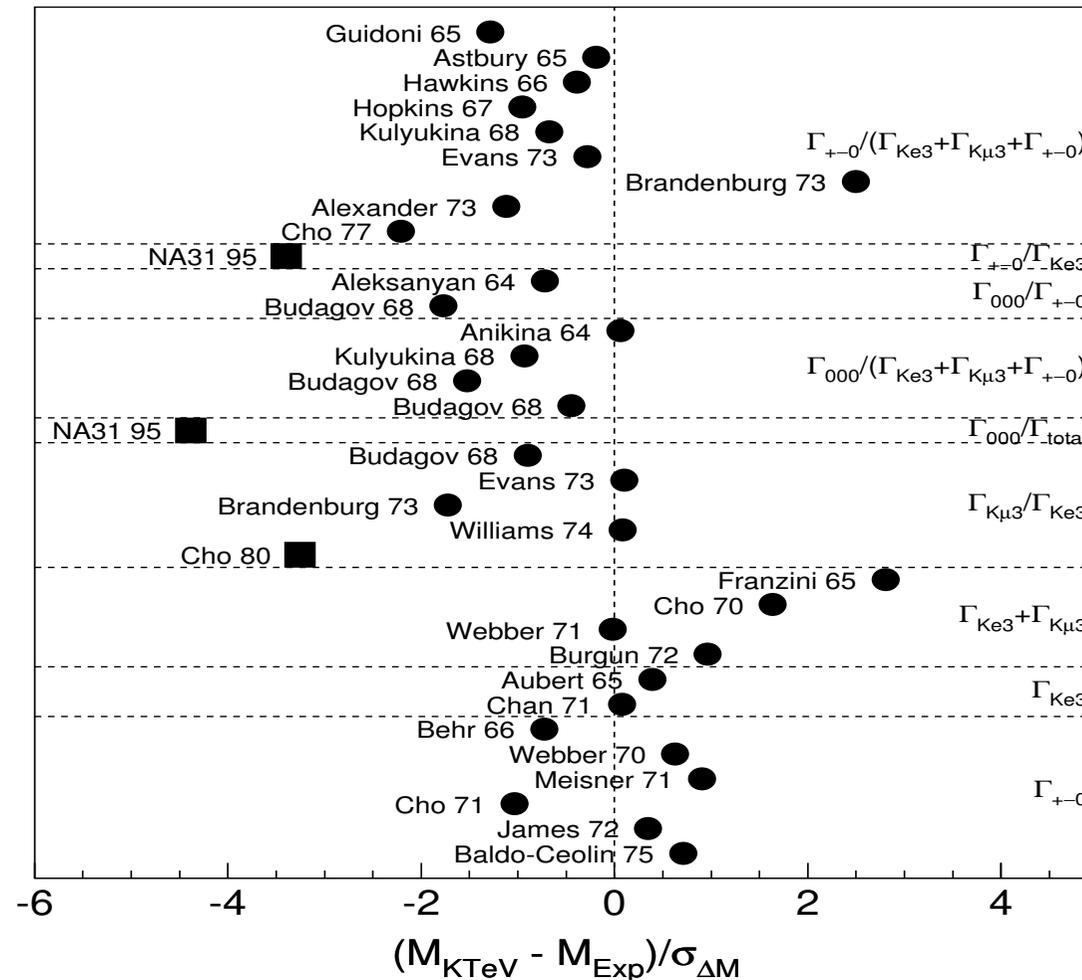
The background for each charged mode is $\leq 0.1\%$.

KTeV results for K_L Branching Fractions



Large change compared to PDG for 4 out of 6 decay modes. In particular, $Ke3$ is about 5% higher. But $K\mu3$ is consistent with older values.

KTeV vs old experiments

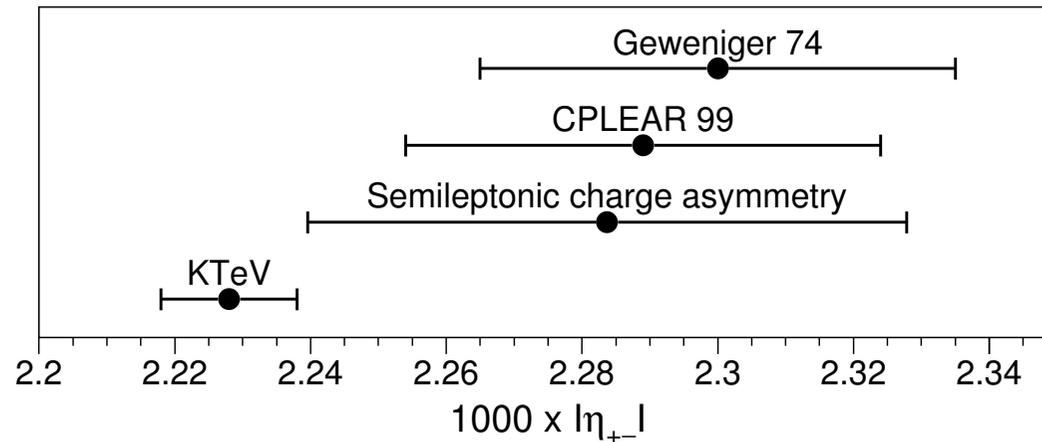


- For all experiments: $\chi^2/dof = 83/34$
- Excluding Cho80, NA31: $\chi^2/dof = 42/31$

Another problem: η_{+-}

Using the measured $K_L \rightarrow \pi\pi$ branching fractions, external values of τ_S (KTeV, NA48) and $\tau_L = 5.15 \pm 0.04$ (PDG02), and correcting for small effects of $\Re(\epsilon'/\epsilon)$ and K_S semileptonic branching fraction one obtains η_{+-}

$$\begin{aligned} \eta_{+-} &= \frac{\tau_S}{\tau_L} \frac{B_L(\pi^+\pi^-) + B_L(\pi^0\pi^0)[1 + 6\Re(\epsilon'/\epsilon)]}{1 - B_S(Kl3)} \\ &= (2.228 \pm 0.010) \times 10^{-3} \end{aligned} \quad (10)$$

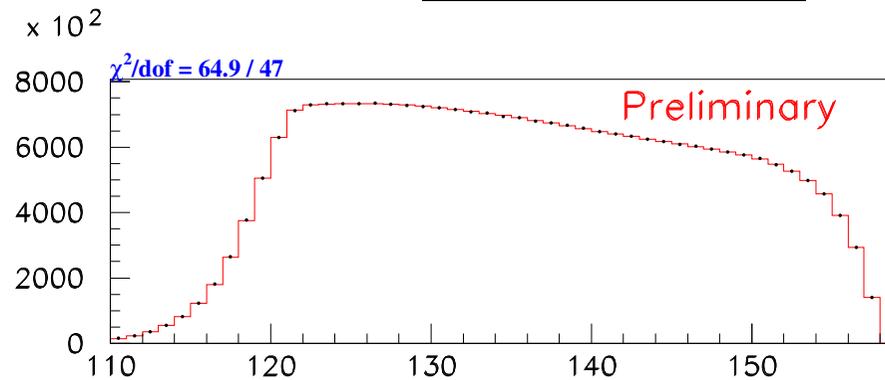


- Most of the error for KTeV is from external τ_L uncertainty
- Geweniger-74 and CPLEAR-99 are $K_L - K_S$ interference based measurements, depend on τ_S , corrected to new τ_S .

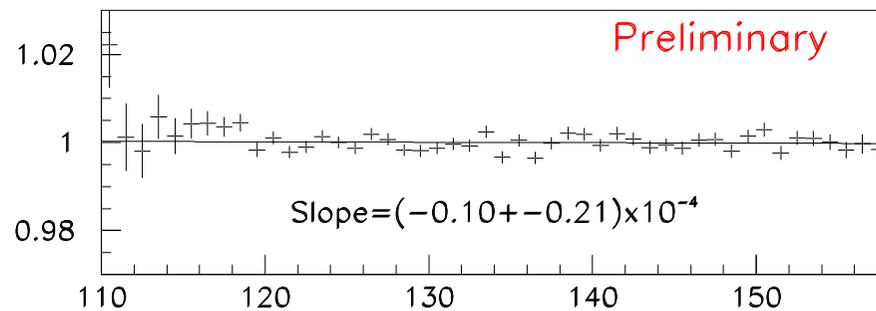
From another talk: status of $\Re(\epsilon'/\epsilon)$

NA48 + KTeV-97 $\rightarrow \Re(\epsilon'/\epsilon) = (16.7 \pm 2.3) \times 10^{-4}$.

KTeV analysis of 99 data is still in progress:



Vac $\pi^+\pi^-$ Z distribution

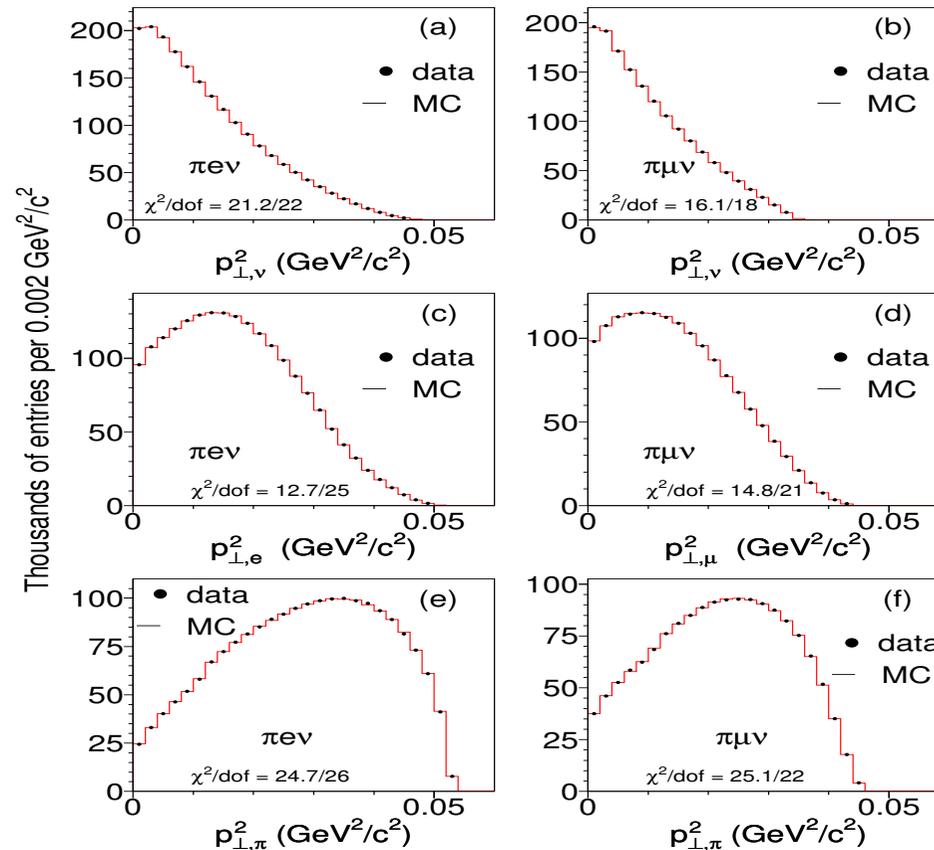


Data/MC ratio

- $\pi^+\pi^-$ analysis is essentially finalized
- $\pi^0\pi^0$ analysis: tuning CsI MC.

KTeV measurement of semileptonic form factors

Since kaon energy is unknown (2-fold ambiguity) use boost invariant **transverse- t** determined using p_{\perp} of the particles.



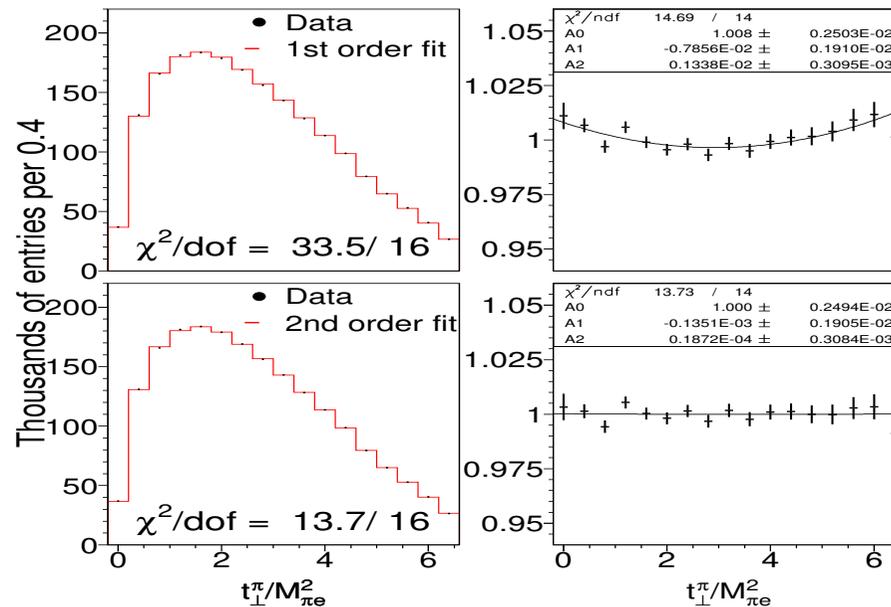
→ good agreement btw data and MC. MC study shows that t_{\perp} -method to extract FF is only about 15% less precise statistically compared to ideal t -based extraction.

Form factors: non-linear term

Parameterization of the form factors:

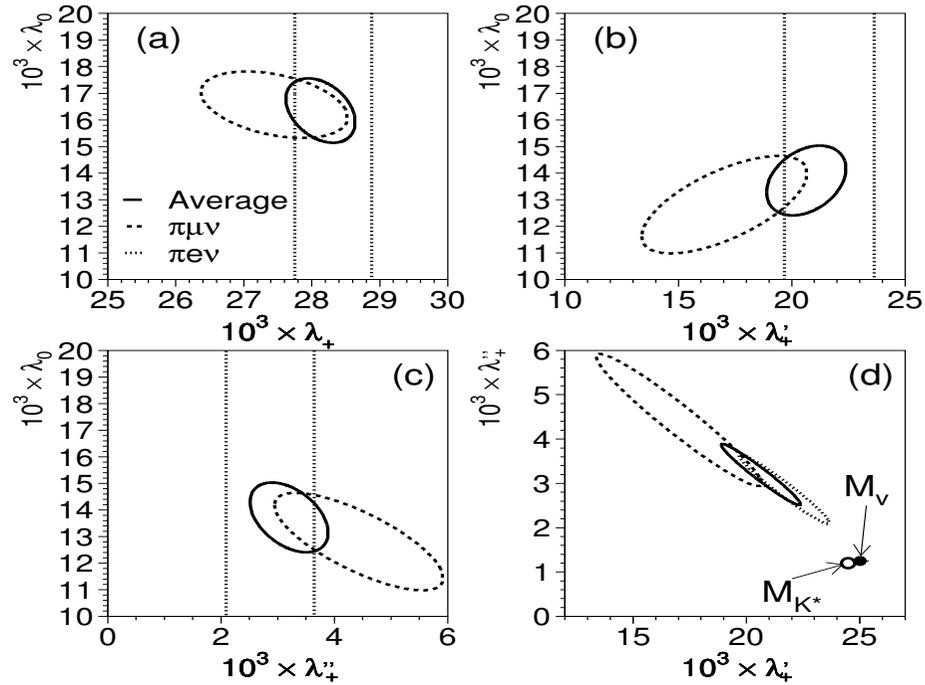
$$\begin{aligned} f_+(t) &= f_+(0) \times \left[1 + \lambda'_+ \frac{t}{M_\pi^2} + \frac{1}{2} \lambda''_+ \frac{t^2}{M_\pi^4} \right] \\ f_0(t) &= f_+(0) \times \left[1 + \lambda'_0 \frac{t}{M_\pi^2} \right] \end{aligned} \quad (11)$$

KTeV sees improvement in the fit to t_\perp distribution using the quadratic parameterization for $f_+(t)$:



→ the second order fit changes I_K integrals by about -1%

Form factor results



KTeV result is consistent with **ISTRA+** result for K^+

	λ'_+	λ''_+	λ_0 (for $\lambda_+ = 0.0277$)
	$\times 10^{-3}$		
KTeV	20.64 ± 1.75	3.20 ± 0.69	16.5 ± 1.1
ISTRA+	23.24 ± 1.55	1.68 ± 0.82	18.3 ± 1.1

KTeV check: lepton universality

V_{us} measured with $Ke3$ and $K\mu3$ should be the same – lepton universality. More directly, the ratio of the Fermi coupling constants for electrons and muons must be the same:

$$\left(\frac{G_F^\mu}{G_F^e}\right)^2 = \left[\frac{\Gamma(K_L \rightarrow \pi^\pm \mu^\mp \nu)}{\Gamma(K_L \rightarrow \pi^\pm e^\mp \nu)}\right] / \left(\frac{1 + \delta_K^\mu}{1 + \delta_K^e} \cdot \frac{I_K^\mu}{I_K^e}\right) \quad (12)$$

- Theoretical uncertainties in $f_+(0)$ cancel for this ratio
- “Matching scale” uncertainties for δ_K^ℓ are reduced:
 $(1 + \delta_K^\mu)/(1 + \delta_K^e) = 1.0058 \pm 0.0010$
- Uncertainties for the “rate” measurement of
 $\Gamma(K_L \rightarrow \pi^\pm \mu^\mp \nu)/\Gamma(K_L \rightarrow \pi^\pm e^\mp \nu) = 0.6640 \pm 0.0026$
differ vs the “shape” measurement of the form factors.
- Ratio of $I_K^\mu/I_K^e = 0.6622 \pm 0.0018$ has reduced dependence on the form factor parameterization.

$$(G_F^\mu/G_F^e)^2 = 0.9969 \pm 0.0048$$

NA48

NA48 presents new preliminary results for

- Measure $B(K_L \rightarrow 3\pi^0) = 0.1966 \pm 0.033$ (normalized to $K_S \rightarrow 2\pi^0$) — consistent with KTeV
- $B(K_L e3)/B(K_L \rightarrow \text{all 2 track}) = 0.498 \pm 0.004$. Using $B(K_L \rightarrow 3\pi^0)$ NA48 determines $B(K e3) = 0.4010 \pm 0.0045$ — again consistent with KTeV.
- $B(K^\pm e3) = (5.14 \pm 0.06)\%$ (using $K^\pm \rightarrow \pi^\pm \pi^0$) as normalization mode — consistent with E865.
- New results for Ξ^0 beta decay (see later)
- Measurement of $K_L e3$ form factor (linear parameterization only) $\lambda_+ = 0.0288 \pm 0.0012$, also in agreement with KTeV (0.0283 ± 0.0006).

KLOE: K_S , K_L , K^\pm

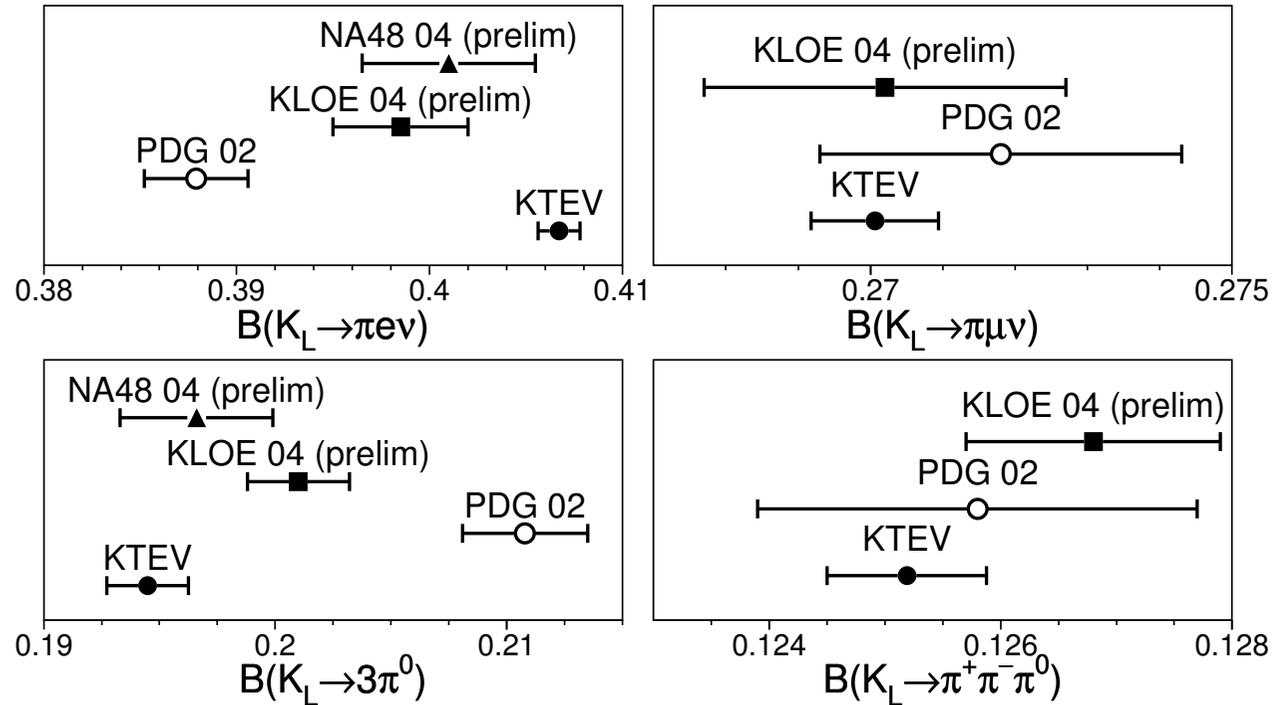
KLOE — ability to **tag** K_S , K_L and K^\pm makes KLOE an ideal experiment to measure branching fractions. A number of new (preliminary) results:

- Precision measurement of
 $Br(K_S \rightarrow \pi e \nu) = (7.09 \pm 0.11) \times 10^{-3}$
- Measurement of the four largest K_L branching fractions:

$$\begin{aligned} Br(K_L \rightarrow \pi e \nu) &= 0.3985 \pm 0.0035 \\ Br(K_L \rightarrow \pi \mu \nu) &= 0.2702 \pm 0.0025 \\ Br(K_L \rightarrow \pi^0 \pi^0 \pi^0) &= 0.2010 \pm 0.0022 \\ Br(K_L \rightarrow \pi^+ \pi^- \pi^0) &= 0.1268 \pm 0.0011 \end{aligned} \tag{13}$$

- Measurement of the K_L lifetime.

KTeV vs KLOE vs NA48



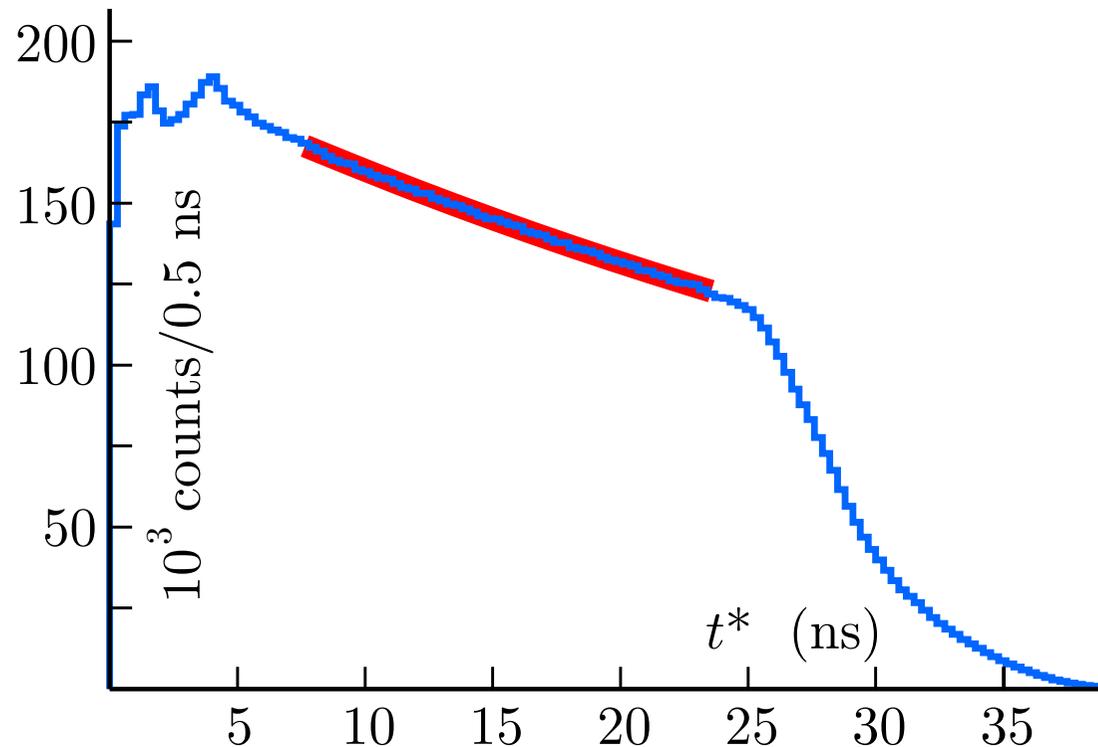
Taking into account correlation between KTeV measurements, $\chi^2/dof = 13.1/6 \rightarrow 4\%$ consistency probability between the new experimental results.

KLOE — lifetime

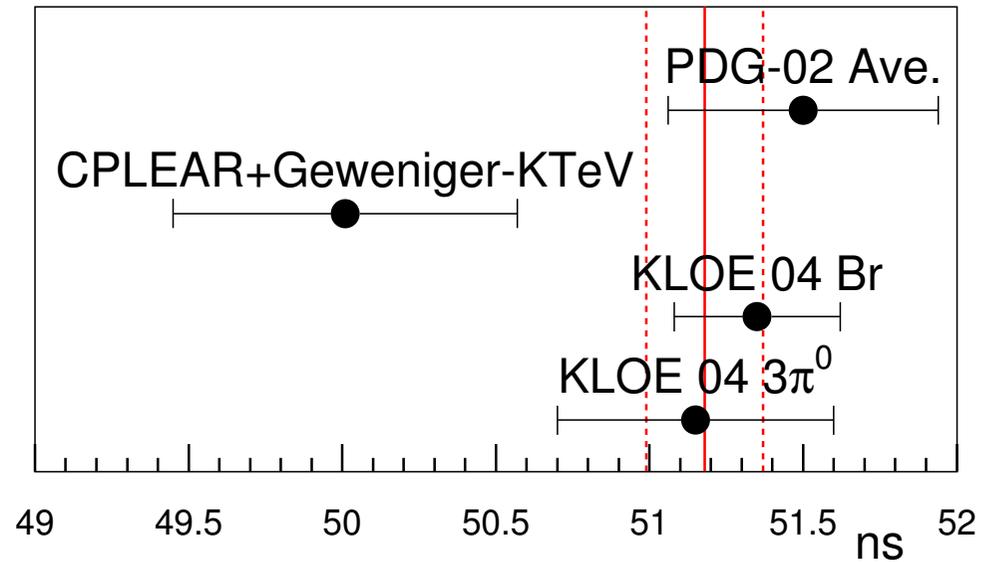
Another very important development from KLOE: new measurements of K_L lifetime (K^\pm in progress !)

Two methods:

- Unitarity condition that the four measured modes sum to 99.7% of the total width
- Use $K_L \rightarrow 3\pi^0$ decays.



K_L lifetime



Difference between interference and KTeV determination of η_{+-} can be used to determine τ_L .

$$\overline{\tau_L} = (51.18 \pm 0.19) \text{ ns} \quad (\chi^2/dof = 5.3/3) \quad (14)$$

New Hyperon data from NA48

Ξ^0 beta decay:

$$\Xi^0 \rightarrow \Sigma^+ e^- \nu_e \quad (15)$$

→ for the exact $SU(3)$ symmetry identical to neutron β -decay.

NA48 sample from 2002- K_S run – about 6000 events (with 2.4% background) → much larger compared to the published KTeV sample — 176 events.

$$Br(\Xi^0 \rightarrow \Sigma^+ e^- \nu_e) = (2.51 \pm 0.11) \times 10^{-4} \quad (16)$$

Assuming no $SU(3)$ symmetry breaking, $V_{us} = 0.214 \pm 0.030$, consistent with unitarity but the errors are large.

Radiative corrections for $K\ell 3$ decays

Two parts of radiative corrections:

$$\delta_{tot} = S_{EW}(1 + \delta_K) \quad (17)$$

Universal short distance radiative corrections, $S_{EW} = 1.022$, calculated by Sirlin in 1981.

Mode dependent radiative corrections δ_K :

- Originally calculated by Ginsberg in the late 1960s.
- New calculations for $K^0\ell 3$ and $K^\pm\ell 3$ using chiral QCD (Cirigliano et al, Bytev et al) and effective theory approach (Andre) – for K^0e3 about **0.5%** lower than Ginsberg estimation
- The radiative corrections are included in MC simulation (e.g. **KLOR** program in the case of KTeV)

→ $\delta_{KLe3} = (1.3 \pm 0.3)\%$, $\delta_{KL\mu 3} = (1.9 \pm 0.3)\%$, the errors include the uncertainty arising from the change of the matching scale.

New theory developments for $f_+(0)$

Original estimate of $f_+(0)$ was made by Leutweyler and Roos (82):

- Complete chiral-QCD calculations up to p^4
- Estimate of p^6 contribution using quark model ($f_4 = -0.016 \pm 0.008$)

→ $f_+(0) = 0.961 \pm 0.008$ (for K_L).

New estimates:

- New chiral-QCD based calculation of p^6 terms (Bijnens et al, Jamin et al.; $f_4 = -0.002 \pm 0.010$)
- New lattice-QCD (quenched) calculation (Becirevic et al)

The new chiral-QCD calculations tend to return **higher** values

→ $f_+(0) = 0.980 \pm 0.010$

The quenched lattice QCD is close to the original estimate:

→ $f_+(0) = 0.960 \pm 0.009$

Check of the theory: form factors vs experiment

The theoretical estimates of $f_+(0)$ can be checked comparing $f(t)$ predictions vs experiment.

Lattice calculation, “pole model” fit (from the talk of F. Mescia at ICHEP04):

	Theory	KTeV
λ^+	0.025 ± 0.002	0.0250 ± 0.0004
λ^0	0.012 ± 0.002	0.0141 ± 0.0010

→ good agreement between data and theory.

But chiral-QCD also predicts similar values of λ^+ , λ^0 .

Putting things together

Experiment:

K_L lifetime is re-measured by KLOE. The new world average value is $\tau_L = 51.18 \pm 0.19$ ns.

$B(K_L \rightarrow \pi e \nu)$ is measured by KTeV, KLOE, NA48. All values are higher than PDG-02. KTeV and KLOE are different by $\sim 2\sigma$, NA48 agrees with both.

Need final results from KLOE/NA48 !

$B(K_L \rightarrow \pi \mu \nu)$ is measured by KTeV, KLOE and agrees well with PDG-02

$B(K^\pm \rightarrow \pi e \nu)$ is measured by NA48, agrees well with E865, significantly higher than PDG-02.

$f_{+,0}(t)$ measured by ISTRA+ for K^+ and KTeV for K_L agree well.

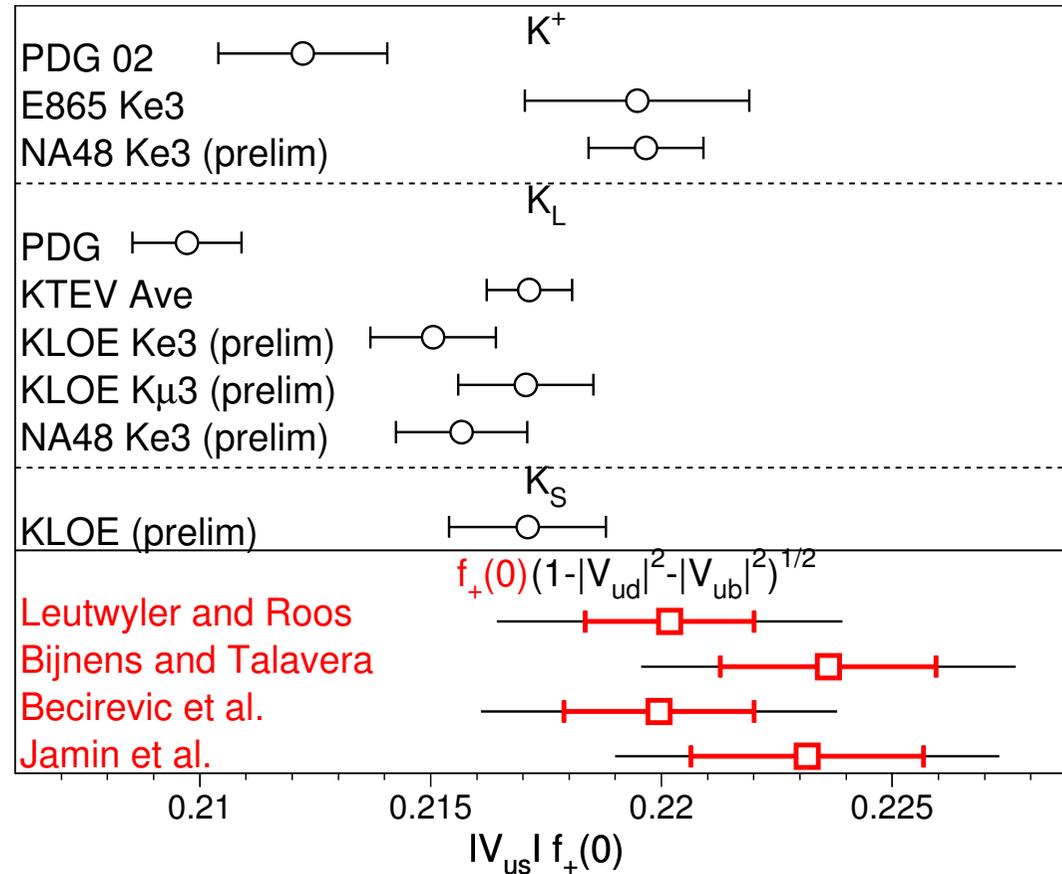
Theory:

$f_+(0)$ is re-calculated by chiral-QCD to p^6 and by lattice QCD. Differ by $\sim 2\%$

$f_{+,0}(t)$ for both chiral and lattice QCD are in agreement with ISTRA+, KTeV measurements.

$V_{us} f_+(0)$ results

$|V_{us}| f_+(0)$ separates theoretical and experimental errors:



(All new K_L results adjusted for the new average lifetime, NA48 is adjusted for the new KTeV form factor measurement).

$$V_{us}$$

The final verdict if unitarity problem still exists or not seems to be on the theory side. Using the lattice QCD calculations for $f_+(0)$, and only new experimental data one obtains:

$$|V_{us}| = \begin{cases} 0.2257 \pm 0.0023 & (K_L) \\ 0.2261 \pm 0.0029 & (K_S) \\ 0.2287 \pm 0.0026 & (K_+) \end{cases} \quad (18)$$

Or the average:

$$|V_{us}| = 0.2262 \pm 0.0023$$

With this value for Cabibbo angle, the unitarity:

$$\delta = 1 - (|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2) = 0.0013 \pm 0.0018$$

is satisfied at 1σ level.

Conclusions

- New experimental data shows large deviation from old PDG average values for both $K_L e3$ and $K^\pm e3$ decay rate
- New results resolve longstanding unitarity issue, (if lattice QCD is selected for $f_+(0)$)

Still to do:

- Need final results from KLOE, NA48 \rightarrow may help to resolve $K_L e3$ difference.
- Need new K^\pm lifetime measurement (from KLOE) \rightarrow may help to understand $\sim 2.2\sigma$ discrepancy between K_L and $K^\pm V_{us}$ determination.

Moral: never leave important measurements un-re-measured